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02/28/02



J1130 U.S. PTO

**TRANSMITTAL OF
UTILITY
APPLICATION
UNDER 37
C.F.R. §1.53**

Attorney Docket No. 24601-416C

First named inventor

de Jong

Express mail label #

EL865036363US

Date of mailing

February 28, 2002

JC986 U.S. PTO
10/086745

02/28/02

Application Elements

1. ☒ Fee Transmittal Form
2. ☒ Specification containing -75- pages
(including claims and Abstract)
 - a. Title: **METHODS FOR DELIVERING NUCLEIC
ACID MOLECULES INTO CELLS AND
ASSESSMENT THEREOF**
 - b. Number of claims: 32
3. ☐ -0- sheets of formal drawings with _
Figs.
4. ☐ Declaration and Power of Attorney
5. ☒ Sequence Listing with
 - ☒ Paper copy (-10- pages) (identical to
computer copy)
 - ☒ Computer readable copy
 - ☒ Verified statement

Accompanying Application Papers

6. ☐ Copy of assignments from parent
application
7. ☒ Small Entity Status is claimed
8. ☐ Preliminary Amendment
9. ☒ Return Receipt Postcard

SIGNATURE OF ATTORNEY/AGENT

HELLER EHRMAN WHITE & McAULIFFE LLP

Paula K. Schoeneck

Paula K. Schoeneck

Registration Number: 39,362

☒ Benefit of priority under 35 U.S.C. §120 (continuation-in-part) claimed to U.S. Patent Application Serial no. 09/815,981, filed 03/22/01, which is incorporated by reference in its entirety.

CORRESPONDENCE ADDRESS

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FEE TRANSMITTAL ACCOMPANYING UTILITY APPLICATION UNDER 37 C.F.R. §1.53	Attorney Docket No.	601-416C
	First named inventor	de Jong
	Express mail label #	EL865036363US
	Date of mailing	February 28, 2002

FEE CALCULATION FOR CLAIMS AS AMENDED

a)	Basic Fee		\$	740.00
b)	Independent Claims <u>3</u> - 3 = <u>0</u> x \$ 80.00		\$	0.00
c)	Total Claims <u>32</u> - 20 = <u>12</u> x \$ 18.00		\$	216.00
d)	Fee for Multiple Dependent Claims - \$260.00		\$	0.00
TOTAL FILING FEE			\$	956.00

- [X] Status as Small Entity is claimed, reducing Fee by one-half to \$478.00
- [X] Check No. 022838 in the amount of \$478.00 to cover the fee for filing the application.
- [] Charge \$.00 to Deposit Account No. 50-1213.
- [X] The Commissioner is hereby authorized to charge any fees that may be required in this application under 37 C.F.R. §§ 1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 50-1213. If proper payment is not enclosed, such as a check in the wrong amount, unsigned, post-dated, otherwise improper or informal, or absent, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1213 during the entire pendency of this application. This sheet is filed in duplicate.

CORRESPONDENCE ADDRESS					
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Typed or printed name	Paula K. Schoeneck			Reg. Number	39,362
Signature	<i>Paula K. Schoeneck</i>	Date	02/28/02	Deposit Account	50-1213

20020220 5429802 10066745 022802

PATENT APPLICATION NO.: To Be Assigned

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: De Jong *et al.*

Serial No.: To Be Assigned

Filed: February, 28 2002

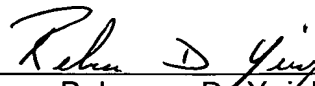
For: METHOD FOR DELIVERING NUCLEIC ACID MOLECULES INTO
CELLS AND ASSESSMENT THEREOF

VERIFIED STATEMENT CONCERNING SEQUENCE LISTING

I, Rebecca D. Yeisley, declare that I personally prepared the computer-readable form of the Sequence Listing set forth in above-entitled patent application and that the content of the paper copy and the computer-readable form is the same. The computer-readable file is titled 416CSEQ.001.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated at San Diego, California this twenty-eighth of February, 2002.



Rebecca D. Yeisley

Patent Scientific Advisor to
Stephanie Seidman
Registration No. 33,779
Attorney for Applicant

10066745-022802

ient / Matter	Date	Description	Amount
601-0416C	02/28/2002	04	\$478.00

Filing Fee Small Entity
CIP Application



ENDOR CODE: 31050 COMMISSIONER FOR PATENTS
 CHECK DATE: 02/28/2002
 Operating

CHECK NUMBER: 22838
 CHECK REQUEST: 273924
 CHECK AMOUNT: \$478.00

HellerEhrman
 ATTORNEYS

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Attty Dkt. 24601-416C

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COUNTER SIGNATURE REQUIRED FOR AMOUNTS OVER \$2,500.00

TO
 THE
 ORDER
 OF
**COMMISSIONER FOR PATENTS
 WASHINGTON, DC 20231**

Phyllis Gordon

AUTHORIZED SIGNATURE

022838 12100035814990005472

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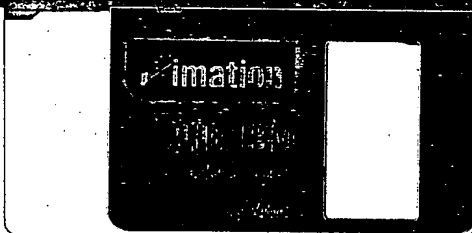
FIRST CLASS MAIL

Sequence Disk; Operation System: Windows NT
Saved to disk: 02/28/02 Saved as: 416CSEQ.001
Applicant: De Jong et al.
USSN: To be assigned Filed: 02/28/02
Title: METHOD FOR DELIVERING NUCLEIC ACID
MOLECULES INTO CELLS AND ASSESSMENT
THEREOF

Attorney of Record: Stephanie Seidman, Reg. No. 33,779
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4350 La Jolla Village Dr., 7th Fl., San Diego, CA 92122-1246
ATTORNEY DOCKET NO: 24601-416C

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